

OAHU CANDIDATES-  
SUBMIT 1 ORIGINAL AND 1 COPY

NEIGHBOR ISLAND CANDIDATES-  
SUBMIT 1 ORIGINAL AND 2 COPIES

# STATE OF HAWAII CAMPAIGN SPENDING COMMISSION

## DISCLOSURE REPORT CANDIDATE COMMITTEE

PLEASE TYPE OR PRINT CLEARLY WITH INK (INSTRUCTIONS FOR COMPLETING THE DISCLOSURE REPORT CAN BE FOUND IN THE "GUIDEBOOK FOR CANDIDATE COMMITTEES")

<b>SECTION I-CANDIDATE AND CANDIDATE COMMITTEE:</b> (a) Candidate Name: <u>Ms Carol Philips</u> (b) Committee Name: <u>Friends for Carol Anne Philips</u> (c) Mailing Address: <u>P.O. Box Box 8</u> <u>Haleiwa HI 96712</u> (d) Phone (Bus) <u>638-1149</u> (Res) <u>638-1149</u> <u>Treasurer's</u>		<b>SECTION II-TYPE OF REPORT</b> (See the Schedule of Reporting Dates to complete this section) <input type="checkbox"/> 1st Preliminary Primary <input type="checkbox"/> Amended <input type="checkbox"/> First <input type="checkbox"/> Third <input type="checkbox"/> 2nd Preliminary Primary <input type="checkbox"/> Second <input type="checkbox"/> Fourth <input type="checkbox"/> Final Primary <input checked="" type="checkbox"/> Preliminary General <input type="checkbox"/> Final Election Period <input type="checkbox"/> Supplemental	
		<b>REPORTING PERIOD</b> <u>09/19/2004</u> through <u>10/18/2004</u>	

### SECTION III-SUMMARY OF RECEIPTS AND DISBURSEMENTS (Complete Section IV on the Back of this Form Before Completing This Section)

	COLUMN A TOTAL THIS PERIOD	COLUMN B ELECTION PERIOD TOTAL TO DATE
1. Cash on Hand at the Beginning of the Election Period..... <sup>2</sup>		2025.55
2. Cash on Hand at the Beginning of this Reporting Period.....	24265.49	
3. Total Receipts (From Line 15).....	10250.00	49928.52
4. Subtotal (Add lines 2 and 3 for Column A and Lines 1 and 3 for Column B).....	34515.49	51954.07
5. Total Disbursements (not including Unpaid Expenditures) (From Line 19).....	10335.30	27773.88
6. Cash on Hand at the Closing of this Reporting Period (Subtract Line 5 from Line 4).....	24180.19	24180.19
7. Total Loans at the Closing of this Reporting Period.....	1476.52	
8. Total Unpaid Expenditures at the Closing of this Reporting Period.....	0.00	
9. Debts Owed at the Closing of this Reporting Period (Add lines 7 and 8).....	1476.52	
10. Surplus/Deficit (Subtract Line 9 from Line 6).....	22703.67	

I hereby certify that the information on this report and all attached Schedules are true, correct and complete to the best of my knowledge.

Carol Philips 10/18/04  
Candidate Signature Date

Kathleen Gaym 10/18/04  
Treasurer Signature Date

<sup>1</sup> Short Form is checked if the candidate is filing a Preliminary, Final or Supplemental Report and has aggregate contributions and aggregate expenditures for the reporting period totaling \$2,000 or less.  
<sup>2</sup> Short form reporting requires completion of only Section I, Section II, and Section III of this Disclosure Report.  
<sup>3</sup> An Election Period is the two-year period between general election days if a candidate is seeking nomination or election to a two-year office and the four-year period between general election days if a candidate is seeking nomination or election to a four-year office.

**SECTION IV DETAILED SUMMARY OF RECEIPTS AND DISBURSEMENTS**  
 (If Necessary, Complete Schedules A through E Before Completing This Section)

RECEIPTS	COLUMN A TOTAL THIS PERIOD	COLUMN B ELECTION PERIOD TOTAL TO DATE	
11. Contributions From:			11
(a) Individuals/Other Entities/Noncandidate Committees/Political Parties			11(a)
(i) Monetary and Non-Monetary Contributions of \$100 or Less .....	250.00	7172.00	11(a)(i)
(ii) Monetary and Non-Monetary Contributions of More Than \$100 .....	10000.00	40500.00	11(a)(ii)
(iii) Subtotal (Add Lines 11(a)(i) and 11(a)(ii)) .....	10250.00	47672.00	11(a)(iii)
(b) Candidate or Candidate's Immediate Family			11(b)
(i) Monetary and Non-Monetary Contributions of \$100 or Less .....	0.00	100.00	11(b)(i)
(ii) Monetary and Non-Monetary Contributions of More Than \$100 .....	0.00	700.00	11(b)(ii)
(iii) Subtotal (Add Lines 11(b)(i) and 11(b)(ii)) .....	0.00	800.00	11(b)(iii)
12. Total Contributions (Add Lines 11(a)(iii) and 11(b)(iii)) .....	10250.00	48472.00	12
13. Public Funds and Other Receipts .....	0.00	0.00	13
14. Loans .....	0.00	1456.52	14
15. Total Receipts (Add Lines 12 through 14) .....	10250.00	49928.52	15
<b>DISBURSEMENTS</b>			
16. Expenditures .....	10335.30	27773.88	16
17. Loans Repaid or Forgiven .....	0.00	0.00	17
18. Unpaid Expenditures Paid or Forgiven .....	0.00	0.00	18
19. Subtotal Disbursements (Add Lines 16 through 18) .....	10335.30	27773.88	19
20. Unpaid Expenditures .....	0.00		20
21. Total Disbursements (Add Lines 19 and 20) .....	10335.30		21

CHECK ONLY ONE BOX  
 USE SEPARATE SCHEDULE(S) FOR EACH CATEGORY BELOW  
☒ INDIVIDUALS/OTHER ENTITIES/NONCANDIDATE COMMITTEES/POLITICAL PARTIES  
☐ CANDIDATE OR CANDIDATE'S IMMEDIATE FAMILY

# STATE OF HAWAII CAMPAIGN SPENDING COMMISSION

## SCHEDULE A MONETARY AND NON-MONETARY CONTRIBUTIONS CANDIDATE COMMITTEE

NO INFORMATION OR COPIES FROM THE REPORTS SHALL BE SOLD OR USED BY ANY PERSON FOR THE PURPOSE OF SOLICITING CONTRIBUTIONS OR FOR ANY COMMERCIAL PURPOSE.

CANDIDATE AND CANDIDATE COMMITTEE NAME:

Friends for Carol Anne Philips

3 / 8

DATE OF DEPOSIT OR RECEIPT OF NON-MONETARY CONTRIBUTION	FULL NAME, STREET ADDRESS, CITY, STATE AND ZIP CODE OF DONOR  IF A DEPENDENT MINOR, ENTER NAME OF PARENT	FOR AGGREGATES OF \$1,000 OR MORE NAME OF EMPLOYER  OCCUPATION	AMOUNT OF CONTRIBUTION OR FAIR MARKET VALUE OF NON-MONETARY CONTRIBUTION THIS PERIOD	AGGREGATE ELECTION PERIOD TOTAL TO DATE
09/28/2004	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Amazon Construction Company 5 Sand Island Road Honolulu HI 96819		500.00	500.00
09/28/2004	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Howard Benedict 1689 San Elijo Avenue Cardiff by the Sea CA 92007		250.00	350.00
09/28/2004	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Christopher Haig 1224 Waialae Avenue Honolulu HI 96816		1000.00	1000.00
09/28/2004	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Paul's Electrical Service 99-1400 Koaha Place Aiea HI 96701		500.00	500.00
09/29/2004	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Richard Harrington P.O. Box 881912 San Francisco CA 94188		250.00	550.00
09/29/2004	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Mary Marasovich 322 Aoloo St., Apt 205 Honolulu HI 96734		200.00	200.00
1. SUBTOTAL OF MONETARY AND NON-MONETARY CONTRIBUTIONS THIS PERIOD (This Page)			2700.00	
2. TOTAL MONETARY AND NON-MONETARY CONTRIBUTIONS THIS PERIOD (Last Page Only) (Transfer total to the applicable Line Number of the Disclosure Report - 11(a)(ii) or 11(b)(iii))				

With the exception of loans and unpaid expenditures that are forgiven, non-monetary contributions must also be reported as an "Expenditure" on Schedule B.

CHECK ONLY ONE BOX  
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☒ INDIVIDUALS/OTHER ENTITIES/NONCANDIDATE COMMITTEES/POLITICAL PARTIES  
☐ CANDIDATE OR CANDIDATE'S IMMEDIATE FAMILY

# STATE OF HAWAII CAMPAIGN SPENDING COMMISSION

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CANDIDATE AND CANDIDATE COMMITTEE NAME:

Friends for Carol Anne Philips

4 / 8

DATE OF DEPOSIT OR RECEIPT OF NON-MONETARY CONTRIBUTION	FULL NAME, STREET ADDRESS, CITY, STATE AND ZIP CODE OF DONOR	FOR AGGREGATES OF \$1,000 OR MORE NAME OF EMPLOYER	AMOUNT OF CONTRIBUTION OR FAIR MARKET VALUE OF NON-MONETARY CONTRIBUTION THIS PERIOD	AGGREGATE ELECTION PERIOD TOTAL TO DATE
	IF A DEPENDENT MINOR, ENTER NAME OF PARENT	OCCUPATION		
09/29/2004	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Linda Smith 2650 Pacific heights Road Honolulu HI 96813		250.00	750.00
09/29/2004	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Katherine Thomason 44-166 Nanamoana Street Kaneohe HI 96744		50.00	200.00
09/30/2004	<input type="checkbox"/> NON-MONETARY CONTRIBUTION McCorriston Miller Mukai MacKinnon LLP P.O. Box 2800 Honolulu HI 96803		1000.00	1000.00
10/01/2004	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Castle & Cooke 10900 Wilshire Blvd., Suite 1600 Los Angeles CA 90024		1000.00	1000.00
10/06/2004	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Clinton Arnoldus 625 Hakaka Street Honolulu HI 96816		1000.00	1000.00
10/06/2004	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Dorvin Leis 2265 Hoonee Place Suite 200 Honolulu HI 96819		1000.00	1000.00
1. SUBTOTAL OF MONETARY AND NON-MONETARY CONTRIBUTIONS THIS PERIOD (This Page)			4300.00	
2. TOTAL MONETARY AND NON-MONETARY CONTRIBUTIONS THIS PERIOD (Last Page Only) (Transfer total to the applicable Line Number of the Disclosure Report - 11(a)(ii) or 11(b)(ii))				

With the exception of loans and unpaid expenditures that are forgiven, non-monetary contributions must also be reported as an "Expenditure" on Schedule B.

CHECK ONLY ONE BOX  
 USE SEPARATE SCHEDULE(S) FOR EACH CATEGORY BELOW  
☒ INDIVIDUALS/OTHER ENTITIES/NONCANDIDATE COMMITTEES/POLITICAL PARTIES  
☐ CANDIDATE OR CANDIDATE'S IMMEDIATE FAMILY

# STATE OF HAWAII CAMPAIGN SPENDING COMMISSION

## SCHEDULE A MONETARY AND NON-MONETARY CONTRIBUTIONS CANDIDATE COMMITTEE

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CANDIDATE AND CANDIDATE COMMITTEE NAME:

Friends for Carol Anne Philips

5 / 8

DATE OF DEPOSIT OR RECEIPT OF NON-MONETARY CONTRIBUTION	FULL NAME, STREET ADDRESS, CITY, STATE AND ZIPCODE OF DONOR	FOR AGGREGATES OF \$1,000 OR MORE NAME OF EMPLOYER	AMOUNT OF CONTRIBUTION OR FAIR MARKET VALUE OF NON-MONETARY CONTRIBUTION THIS PERIOD	AGGREGATE ELECTION PERIOD TOTAL TO DATE
	IF A DEPENDENT MINOR, ENTER NAME OF PARENT	OCCUPATION		
10/06/2004	<input type="checkbox"/> NON-MONETARY CONTRIBUTION PTW Inc. P.O. Box 30329 Honolulu HI 96820		1000.00	1000.00
10/07/2004	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Muriel Lighter 229 Pauahilani Place Kailua HI 96734	Muriel Lighter Trust	1000.00	1000.00
		Trustee		
10/07/2004	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Dr. Lawrence Tseu 1441 Kapiolani Blvd., Suite 708 Honolulu HI 96814	Self	1000.00	1000.00
		Medical Doctor		

1. SUBTOTAL OF MONETARY AND NON-MONETARY CONTRIBUTIONS THIS PERIOD (This Page)	3000.00	
2. TOTAL MONETARY AND NON-MONETARY CONTRIBUTIONS THIS PERIOD (Last Page Only) (Transfer total to the applicable Line Number of the Disclosure Report - 11(a)(ii) or 11(b)(ii))	10000.00	

With the exception of loans and unpaid expenditures that are forgiven, non-monetary contributions must also be reported as an "Expenditure" on Schedule B.

**STATE OF HAWAII  
CAMPAIGN SPENDING COMMISSION  
SCHEDULE B  
EXPENDITURES  
CANDIDATE COMMITTEE**

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CANDIDATE AND CANDIDATE COMMITTEE NAME:

**Friends for Carol Anne Philips**

**6 / 8**

DATE OF EXPENDITURE	FULL NAME, STREET ADDRESS, CITY, STATE AND ZIP CODE OF VENDOR OR SOURCE OF NON-MONETARY CONTRIBUTION	PURPOSE OF EXPENDITURE OR DESCRIPTION OF NON-MONETARY CONTRIBUTION	AMOUNT OF EXPENDITURE OR FAIR MARKET VALUE OF NON-MONETARY CONTRIBUTION THIS PERIOD
09/22/2004	<input type="checkbox"/> NON-MONETARY CONTRIBUTION American T-Shirt Company 1217 N. King Street Honolulu HI 96817	5019H Printing - T-Shirts : T-Shirts	186.61
09/22/2004	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Meridian Pacific Inc 1755 Creekside Oaks Drive Sacramento CA 95833	5023A Voter Lists - Polls : Voter ID Phone Survey	2675.35
10/01/2004	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Signs By D.E.Y. 320 Ward Avenue Honolulu HI 96814	5019G Printing - Signs : Printing Signs and Banners	913.12
10/04/2004	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Slevin Design 66-163 Walikanalele Road Haleiwa HI 96712	5013 Media Ad Production : Digital Imaging	1118.00
10/07/2004	<input type="checkbox"/> NON-MONETARY CONTRIBUTION POSTMASTER 355 Merchant Street Honolulu HI 96813	5018D Postage/Mailing - Stamps : 10-00 1st Class Stamps	370.00
10/07/2004	<input type="checkbox"/> NON-MONETARY CONTRIBUTION POSTMASTER 355 Merchant Street Honolulu HI 96813	5018D Postage/Mailing - Stamps : Postage Stamps	370.00
10/11/2004	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Edward Enterprises P.O. Box 30468 Honolulu HI 96820	5019B Printing - Brochures : Printing and handling for mailout	2172.22

1. SUBTOTAL OF EXPENDITURES THIS PERIOD (This Page) .....	7805.30
2. TOTAL EXPENDITURES THIS PERIOD (Last Page Only) (Transfer total to Line Number 16 of the Disclosure Report) .....	

STATE OF HAWAII  
CAMPAIGN SPENDING COMMISSION  
SCHEDULE B  
EXPENDITURES  
CANDIDATE COMMITTEE

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CANDIDATE AND CANDIDATE COMMITTEE NAME:

Friends for Carol Anne Philips

7 / 8

DATE OF EXPENDITURE	FULL NAME, STREET ADDRESS, CITY, STATE AND ZIP CODE OF VENDOR OR SOURCE OF NON-MONETARY CONTRIBUTION	PURPOSE OF EXPENDITURE OR DESCRIPTION OF NON-MONETARY CONTRIBUTION	AMOUNT OF EXPENDITURE OR FAIR MARKET VALUE OF NON-MONETARY CONTRIBUTION THIS PERIOD
10/11/2004	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Haleiwa Joe's 66-011 Kamehameha Hwy Haleiwa HI 96712	5002A Contribution to Community Organization - Charitable : Participate in sponsering a charity golf tournament	500.00
10/11/2004	<input type="checkbox"/> NON-MONETARY CONTRIBUTION POSTMASTER 355 Merchant Street Honolulu HI 96813	5018A Postage/Mailing - Bulk Mail : Bulk mailout	2030.00

1. SUBTOTAL OF EXPENDITURES THIS PERIOD (This Page) .....	2530.00
2. TOTAL EXPENDITURES THIS PERIOD (Last Page Only) (Transfer total to Line Number 16 of the Disclosure Report) .....	10335.30

ATTACH A COPY OF THE  
EXECUTED LOAN DOCUMENT AT  
THE TIME OF INITIAL DISCLOSURE

# STATE OF HAWAII CAMPAIGN SPENDING COMMISSION

## SCHEDULE D LOANS CANDIDATE COMMITTEE

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CANDIDATE AND CANDIDATE COMMITTEE NAME:

8 / 8

Friends for Carol Anne Philips

LOAN SOURCE	FULL NAME, STREET ADDRESS, CITY, STATE AND ZIPCODE OF LENDER NAME OF EMPLOYER AND OCCUPATION	AMOUNT OF LOAN AT BEGINNING OF THIS PERIOD	NEW LOAN AMOUNT THIS PERIOD	AMOUNT REPAID OR FORGIVEN THIS PERIOD	AMOUNT OF LOAN AT CLOSING OF THIS PERIOD
DATE OF LOAN	PURPOSE OF LOAN				
<input checked="" type="checkbox"/> CANDIDATE <input type="checkbox"/> IMMEDIATE FAMILY <input type="checkbox"/> FINANCIAL INSTITUTION <input type="checkbox"/> OTHER	Ms Carol Philips P.O. Box 8  Haleiwa HI 96712 Emp/Occu: Self/Promoter	20.00	0.00	<input type="checkbox"/> FORGIVEN  0.00	20.00
08/21/2002	Loan to open checking account				
<input checked="" type="checkbox"/> CANDIDATE <input type="checkbox"/> IMMEDIATE FAMILY <input type="checkbox"/> FINANCIAL INSTITUTION <input type="checkbox"/> OTHER	Ms Carol Philips P.O. Box 8  Haleiwa HI 96712 Emp/Occu: Self/Promoter	1456.52	0.00	<input type="checkbox"/> FORGIVEN  0.00	1456.52
11/06/2002	Loan to campaign for Miscellaneous Items				

1. SUBTOTAL (This Page) .....	0.00	0.00	1476.52
2. TOTAL NEW LOANS THIS PERIOD (Last Page Only) (Transfer total to Line Number 14 of the Disclosure Report) .....	0.00		
3. TOTAL LOANS REPAID OR FORGIVEN THIS PERIOD (Last Page Only) (Transfer total to Line Number 17 of the Disclosure Report) .....		0.00	
4. TOTAL LOANS AT THE CLOSING OF THIS PERIOD (Last Page Only) (Transfer total to Line Number 7 of the Disclosure Report) .....			1476.52

If a loan is forgiven, the loan must be also be reported as a "Non-Monetary Contribution" on Schedule A. The forgiven loan does not have to be reported as an "Expenditure" on Schedule B.